



RFP #344 AUDIT SERVICES

Issue Date: Friday, April 30, 2010

Cheryl Mackenzie, Buyer

Issued by: The Thames Valley District School Board

Return Date: 12:00:00 noon, local time, Tuesday, June 1, 2010

THAMES VALLEY DISTRICT SCHOOL BOARD
REQUEST FOR PROPOSAL - AUDIT SERVICES - WORKSHEET A - TERMS AND CONDITIONS

ITEM NO.	TERMS AND CONDITIONS	WILL COMPLY/WILL NOT COMPLY	COMMENT
1.0	INTRODUCTION		
1.01	The Thames Valley District School Board (hereafter referred to as the TVDSB) invites interested parties to submit sealed submissions in response to this bid document. The TVDSB is one of the largest public school boards in the Province of Ontario and is comprised of all public schools within the counties of Elgin, Middlesex, and Oxford. The TVDSB is the employer of approximately 8,000 staff and operates 178 schools with an estimated enrolment of 72,000 students.		
1.1	PURPOSE		
1.1.1	The purpose of this bid document is to provide interested parties with sufficient information to enable them to prepare and submit bids for consideration by the TVDSB for Audit Services subject to the conditions herein.		
1.2	BACKGROUND		
1.2.1	The TVDSB has an annual budget of approximately \$716,251,802. A copy of the budget is available on the Board's website. Please visit: http://www.tvdsb.on.ca/budget/2008-2009/2009Final_Budget.pdf		
1.2.2	The Board uses the following information systems:		
1.2.3	Financial Information System – SRB's Budgetary Accounting System (BAS).		
1.2.4	Human Resources and Payroll – Kiosk's Integrated Personnel and Payroll System (IPPS).		
1.2.5	School Generated Funds - Kev's School Cash.net		
1.2.6	Student Information System - SRB's Trillium		
1.2.7	Thames Valley Education Foundation - Blackbaud's RazorsEdge		
1.2.8	The TVDSB has their own internal auditor who audits schools.		
1.2.9	The successful Bidder will be required to provide audit services in accordance with generally accepted auditing standards and will include all audits and assurance work as required by the Ministry of Education and Training.		
2.0	BID DEFINITIONS AND INFORMATION		
2.1	DEFINITIONS		
2.1.1	The following words are used throughout this bid document and bidders should note these conditions when completing their bid submission.		
2.1.1.1	The word "MUST" shall mean bidders "must" include the required information in bid submission. Failure to include the required information will deem submission noncompliant.		
2.1.1.2	The word "SHOULD" shall mean bidders "should" include the required information in bid submission.		

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2.1.1.3	The word "NONCOMPLIANT" shall mean bid submissions will be eliminated from further evaluation if the submission does not include the required information.		
2.1.1.4	The word "SUBCONTRACTOR" shall mean a person, firm or company hired by the bidder(s) or the successful bidder(s) to perform any portion or all of this bid.		
2.1.1.5	The word "QUALIFIED" shall mean a bidder who is compliant and has included the required information in their bid submission.		
2.1.1.6	BID IRREGULARITY: A deviation between the requirements (terms, conditions, specifications, special instructions) of a bid response for the purposes of this bid; bid irregularities are further classified as major irregularities or minor irregularities. The classification of what is a major irregularity or a minor irregularity shall be the sole discretion of the TVDSB.		
2.1.1.6.1	Major Irregularity: A deviation from the bid request which affects the price, quality, quantity or delivery, and is material to the award. If the deviation is permitted, the bidder could gain an unfair advantage over competitors. The TVDSB will reject any bid submission which contains a major irregularity.		
2.1.1.6.2	Minor Irregularity: A deviation from the bid request which affects form, rather than substance. The effect on the price, quality, quantity or delivery is not material to the award. If the deviation is permitted or corrected the bidder would not gain an unfair advantage over competitors. The TVDSB may permit the bidder to correct a minor irregularity.		
2.2	STRUCTURE OF THIS BID		
2.2.1	Bidders must use the electronic bidding file (setup.exe) which is available on the internet at:		
2.2.1.1	www.tvdsb.on.ca		
2.2.1.2	"Board"		
2.2.1.3	"Purchasing"		
2.2.1.4	"Bids"		
2.2.1.5	Scroll to the end of the document, click		
2.2.1.6	"Proceed to inquiry/download page".		
2.2.1.7	Proceed to the bid, click		
2.2.1.8	"New" Icon		
2.2.1.9	The setup.exe file contains a file named "Appendices344.xls" with the following worksheets:		
2.2.1.10	Worksheet A: Terms and Conditions in Excel format		
2.2.1.11	Worksheet B: Requirements in Excel format		
2.2.1.12	Worksheet C: Staffing in Excel format		
2.2.1.13	Worksheet D - Pricing		

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2.2.1.14	Worksheet E - Criteria & Weighting		
2.3	RETURN LOCATION		
2.3.1	Sealed bid submissions must be returned to:		
2.3.2	"Tenders Clerk"		
2.3.3	Tenders Clerk's box, Basement, Education Centre		
2.3.4	Thames Valley District School Board		
2.3.5	1250 Dundas Street		
2.3.6	London, Ontario		
2.3.7	N5W 5P2		
2.3.8	The bid submission envelope should show the bid document name, number, return date and time (as set out in Section 9.6 - Labelling of Envelope and diskette or CD).		
2.3.9	The bid submission must be returned to the "Tenders Clerk" for your bid submission to be accepted.		
2.3.10	Delivery to the "Tenders Clerk" is the responsibility of the bidder.		
2.3.11	Submissions received by electronic transmission (i.e. fax or email) will not be accepted.		
2.3.12	Late bids will be returned to the bidder, unopened, if a return address is included on the submission envelope.		
2.4	IMPORTANT DATES		
2.4.1	ISSUE DATE: Friday, April 30, 2010		
2.4.2	QUESTIONS: Friday, May 7, 2010		
2.4.3	ANSWERS TO QUESTIONS: Tuesday, May 11, 2010		
2.4.5	RETURN DATE and TIME: prior to 12:00:00 noon Tuesday, June 1, 2010 local time		
2.4.6	PRESENTATION/DEMONSTRATION IF REQUIRED: Tentative Date: Monday, June 14, 2010		
2.5	QUESTIONS		
2.5.1	All questions pertaining to this bid document are to be addressed to: Cheryl MacKenzie, by Fax (519) 452-2399 or email, c.mackenzie@tvdsb.on.ca no later than Friday, May 7, 2010. After this date no further inquiries, concerns or questions may be submitted. The TVDSB reserves the right to distribute a notice of content of any inquiry and the TVDSB's response to all other registered bidders. All questions pertaining to this bid document must be submitted in writing.		

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2.5.2	Questions concerning the terms and conditions of the bid document, whether made orally or in writing, to any individual other than indicated above may, at the sole discretion of the TVDSB, render your submission noncompliant. Direct questions in written form only to: Cheryl MacKenzie. The TVDSB will only be bound by written answers to questions .		
2.5.3	Answers to all questions will be posted to the TVDSB web site at www.tvdsb.on.ca		
2.5.3.1	"Board"		
2.5.3.2	"Purchasing"		
2.5.3.3	"Bids"		
2.5.3.4	Scroll to the end of the document, click		
2.5.3.5	"Proceed to inquiry/download page"		
2.5.3.6	Proceed to the Bid, click		
2.5.3.7	"Answers to Questions"		
2.5.3.8	View documents in PDF format.		
2.5.3.9	All bid files are available for downloading at no charge from the TVDSB web site.		
2.5.4	Should any questions raised by a bidder necessitate an addendum to this bid document, the addendum will be posted to the TVDSB Web Site. See Section 2.5.4.		
2.5.5	All Addenda will be issued at least seven days prior to the closing date. If an addendum is issued within seven days of the closing date, the date will be extended accordingly.		
3.0	CONTRACT TERM / PRICING / TAXES / DELIVERY / PAYMENT		
3.1	CONTRACT TERM		
3.1.1	The term of this agreement shall be for up to 5 years, commencing on July 1, 2010 and unless otherwise provided herein, to be renewed annually, terminating on June 30, 2015.		
3.1.2	The TVDSB may, at the end of this contract term, extend the contract for a period of two years in one (1) year increments and will advise the bidder in writing of their intentions.		
3.1.3	Bidders should state if your company would agree to extending this contract with the same terms and conditions for a sixth year ending June 30, 2016.		
3.1.4	Bidders should state if your company would agree to extending this contract with the same terms and conditions for a seventh year ending June 30, 2017		
3.2	PRICING		
3.2.1	Bidders must complete the pricing section shown in Worksheet D - Pricing.		

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3.2.2	Bidders must print and sign Worksheets		
3.2.3	All charges must be included in the cost of the item. Prices quoted must be for goods and services exactly as specified and in Canadian funds, unless otherwise indicated.		
3.2.4	Prices must include delivery, F.O.B. destination. NO AWARDS WILL BE MADE TO FIRMS QUOTING FREIGHT EXTRA.		
3.2.5	Prices should remain in effect for the initial five (5) years term of the contract, commencing on July 1, 2010 and ending June 30, 2015.		
3.2.6	The TVDSB will not expect any price increases for the exact configuration quoted during the roll out.		
3.2.7	The TVDSB would like to take advantage of any promotions, price decreases, rebates or new technologies available during the term of the contract. Detail your company's strategy related to future pricing, new hardware components or new technologies.		
3.3	TAXES		
3.3.1	HST: Where applicable, must be shown separately as extras on all invoices in accordance with Canadian and Provincial Government regulations.		
3.4	INVOICING/PAYMENT TERMS		
3.4.1	All invoices must be sent to the Thames Valley District School Board, 1250 Dundas Street, London, Ontario N5W 5P2. Attention: Accounts Payable.		
3.4.2	Applicable taxes must be shown as separate line items on all invoices.		
3.4.3	Bidders should indicate any specific payment terms. It is generally expected that payment will be 45 days from receipt of invoice.		
3.4.4	Bidders should state percentage discount for early payment and net payment terms.		
4.0	SPECIFICATIONS/REQUIREMENTS		
4.1	REQUIREMENTS		
4.1.1	The requirements are detailed in Worksheet B - Requirements.		
4.1.2	Any material, equipment, service or work ordered which, in the opinion of the TVDSB, does not completely fulfill the specifications must immediately be removed and/or completed to the specifications or sample quality at the expense of the successful bidder.		
4.1.3	The successful bidder(s) must carry out all work to the satisfaction of the TVDSB. All work is to be performed by appropriately certified staff.		
4.1.4	For each requirement as described in Worksheet B, bidders must place a response in the appropriate column.		
4.2	PRESENTATION/INTERVIEW/DEMONSTRATION		

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4.2.1	Qualified bidders may be required to make a presentation/attend an interview at the bidders' own expense or arrange a visit for the evaluation committee to an existing installed location that matches the requirements of the bid. No bid alterations will be allowed. Notification will be given to qualified bidders as to time and place. The tentative presentation date is Monday, June 14, 2010.		
5.0	TERMS AND CONDITIONS		
5.1	GENERAL TERMS AND CONDITIONS		
5.1.1	Any response submitted to the bid is IRREVOCABLE for 180 days.		
5.1.2	A bidder who has already submitted a bid may submit an addendum in writing and signed by the bidder at any time up to the official closing time. No facsimiles shall be accepted. The last submission shall supersede and invalidate all previous submissions by that bidder as it applies to this bid. Addenda must be submitted to the "Tenders Clerk" in the same manner and within the same time constraints as the bid submission.		
5.1.3	A bidder may withdraw the bid at any time up to the official closing time by letter bearing his/her signature as it is in the submission. Withdrawal requests received after the closing date shall not be permitted. Submission withdrawals must be submitted to the "Tenders Clerk" in the same manner and within the same constraints as a bid submission.		
5.1.4	The issuance of this call for bids shall not constitute any obligation on the part of the TVDSB to any firm or individual who submits a bid.		
5.1.5	The bidder should have satisfactorily fulfilled all relevant obligations as required under the terms and conditions of any previous award in order to be considered as an acceptable bidder.		
5.1.6	The laws of the Province of Ontario shall govern in any dispute occasioned as a result of the performance or non-performance and/or workmanship of a contract issued pursuant to the bid and any dispute arising out of the issuance of and response to this bid.		
5.1.7	The TVDSB reserves the right to withdraw the award of the contract to a successful bidder(s) within 30 days of the award if in the opinion of the TVDSB the successful bidder(s) is unable or unwilling to enter into a form of contract satisfactory to the TVDSB. The TVDSB shall be entitled to do so without any liability being incurred by the TVDSB to the bidder		

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5.1.8	The lowest or any bid submission may not necessarily be accepted. The TVDSB reserves the right to decline any or all bid submissions, or to cancel the bid call in whole or in part at any time prior to making an award, for any reason, or no reason, without liability being incurred by the TVDSB to any bidder for any expense, cost, loss or damage incurred or suffered by the bidder as a result of such withdrawal.		
5.1.9	All costs associated with the preparation of the bid submission will be solely the responsibility of the bidder.		
5.1.10	The TVDSB reserves the right to decline or purchase one or all items in this bid from one supplier or from multiple suppliers.		
5.1.11	All of the terms and conditions of this bid are deemed to be accepted by the bidder and incorporated into the bidder's proposal submission. It is the TVDSB's intention that the Terms and Conditions stated in this bid and the successful bidder's response to this bid will form the contract between TVDSB and the successful bidder(s). Any conflict in the wording of the bidder's invoice and/or sales agreement and the wording of the terms and conditions of this proposal, shall be resolved in favour of the TVDSB and shall be deemed to be incorporated into the bidder's invoice and/or sales agreement.		
5.1.12	The successful bidder(s) must not at any time subcontract any portion of its contract with the TVDSB nor shall it assign the contract without the written permission of the TVDSB. The successful bidder(s) must not, at any time, change subcontractors approved by the TVDSB without written permission of the TVDSB.		
5.1.13	While the TVDSB has used considerable efforts to ensure an accurate representation of information in this bid document, the information contained herein is contained solely as a guideline for bidders. The information is not guaranteed or warranted to be accurate by the TVDSB, nor is it necessarily comprehensive or exhaustive. Nothing in this bid document is intended to relieve bidders from forming their own opinions and conclusions in respect to the matters addressed in this bid document.		

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5.1.14	The TVDSB may accept or waive a minor irregularity, or where practical to do so the TVDSB may as a condition of bid acceptance request a bidder to correct a minor irregularity with no change in bid price. Items of non compliancy on any bid submissions which do not strictly comply with the provisions, procedures and requirements of this bid, or are incomplete, ambiguous, or which contain errors, alterations, misleading information, omissions, or irregularities of any kind, may be rejected and disqualified at the discretion of the TVDSB. All bidders agree to provide all such additional information as, and when requested, at their own expense, provided no bidder in supplying any such information shall be allowed, in any way to change the pricing or other cost quotations originally given in its bid submission or in any way materially alter or add to the solution originally proposed.		
5.1.15	All TVDSB policies, procedures and regulations must be adhered to by the successful bidder(s).		
5.1.15.1	Smoking is prohibited in all TVDSB buildings and on all TVDSB property.		
5.1.15.2	Some TVDSB sites are equipped with video surveillance cameras.		
5.1.15.3	The successful bidder(s) is obliged to cooperate with all recycling and environmental procedures and initiatives established by government, the TVDSB and each school.		
5.1.16	The successful bidder(s) will reimburse the TVDSB for any damages through negligence or wilful acts of any of the successful bidder(s)' employees or contracted staff.		
5.1.17	The successful bidder(s)' employees and contracted staff shall not be considered TVDSB employees and shall not represent themselves as an agent of the TVDSB nor be eligible for any of the benefits provided to TVDSB employees.		
5.1.18	The TVDSB reserves the right to demand the removal of any successful bidder's employees or contracted staff engaged in this contract if, in the TVDSB's opinion, their conduct has been of an unacceptable nature.		
5.1.19	The successful bidder(s) will be responsible for seeing that regular supervision is maintained over all working personnel. It is the bidder's responsibility to see that all their activities are properly coordinated with the TVDSB's operations and modify assignments as required.		
5.1.20	This bid document is being issued pursuant to the TVDSB's Purchasing Policies and Procedures.		
5.1.21	The acceptance of the bid by the successful bidder and the award of the contract contemplated by this bid document is subject to approval of the Board of Trustees.		
5.2	5.2 CANCELLATION OF CONTRACT / LOSS OF SERVICE		

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5.2.1	The TVDSB reserves the right to terminate this contract within 30 days written notice if, in its opinion, the successful bidder(s) fails to meet the terms and conditions of the contract. Notwithstanding the termination of the contract, the successful bidder(s) shall remain responsible for its obligations under this contract up to the date of termination. The TVDSB reserves the right to commence an action in a court of competent jurisdiction against the successful bidder(s) for damages that result from the breach of the terms and conditions of the contract, by the successful bidder(s).		
5.2.2	The TVDSB shall have the right to retain and set off from any monies payable to the successful bidder(s) under the contract the total outstanding amount from time to time and for all damage claims by the TVDSB or any third parties arising out of this contract which have not been resolved by the successful bidder(s) or its insurer.		
5.2.3	The TVDSB reserves the right to withhold monies owing under a contract to the value of the obligation to a maximum of the monies owing to the successful bidder(s) for any indebtedness of the supplier that may impact on the TVDSB.		
5.2.4	The successful bidder(s) shall be responsible for ensuring continuous delivery of the goods and services in the event of a labour disruption by either, the successful bidder(s), the TVDSB's staff or third party interruptions.		
5.2.5	In the event that the successful bidder(s) becomes insolvent, and/or the successful bidder(s) is unable or unwilling to provide the contracted service for a period of more than 30 consecutive days during the period of the contract, the TVDSB shall have the right to replace the successful bidder(s) with another service provider suitable to the TVDSB in addition to all of its other rights pursuant to the term of this bid.		
5.3	FREEDOM OF INFORMATION AND PROTECTION OF PRIVACY ACT		
5.3.1	Bidders agree that all documentation and information contained in any bid submissions and any addendum that becomes the property of the TVDSB shall be subject to disclosure pursuant to an application pursuant to a Municipal Freedom of Information and Protection of Privacy Act request for disclosure. Notwithstanding that a bid submission or an addendum may contain a trade secret of the bidder, intellectual property right of the bidder, or scientific, technical, commercial, pricing or other financial or labour relations information or any other similar secret.		

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5.3.2	A bidder specifically consents to the disclosure of any and all information contained in their bid submission or any addendum pursuant to a request for disclosure pursuant to a Municipal Freedom of Information and Protection of Privacy Act and such consent shall be considered a consent given pursuant to Subsection 10(2) of the said Act. Notwithstanding the aforesaid, the bidder assigns all right, title and interest that they have in the bid submission, and any addendum to the TVDSB, including the right to copy and/or publish the same as the TVDSB sees fit, notwithstanding that no request for disclosure is made pursuant to the Municipal Freedom of Information and Protection of Privacy Act.		
5.3.3	All bidders agree not to disclose any information provided by the TVDSB in this bid document to any third party without the written consent of the TVDSB.		
5.4	HUMAN RIGHTS AND CHILD LABOUR LAWS		
5.4.1	Any infringement on human rights, but namely those of children, is of considerable concern to the TVDSB. Bidders wishing to do business with the TVDSB are asked to promote the purchase of goods from companies that operate in full compliance with the laws of their respective countries and with all applicable child labour laws, rules and regulations related to hiring, wages, hours worked, overtime and working conditions.		
5.4.2	Bidders should indicate your firm's policy and present practices and procedures in place to encourage promotion of this objective.		
5.4.3	For bidders information the web site address of the International Labour Organization and its objectives toward the abolition of child labour is:		
5.4.3.1	http://www.ilo.org		
5.4.3.2	http://www.ilo.org/public/english/comp/child/policy/towards.htm		
5.5	HEALTH, SAFETY REGULATIONS		
5.5.1	All equipment requiring approval (Hydro One, C.S.A., ULC., etc.) must be completely assembled and must bear label showing approval of assembly prior to delivery. The TVDSB shall not accept any equipment that has not been inspected and approved. If not so approved, the TVDSB reserves the right to invoice the successful bidder(s) for the cost of certification/replacement.		
5.5.2	Every person who supplies any machine, device, tool, equipment or service to the TVDSB must ensure that the machine, device, tool, equipment or service complies with the Occupational Health and Safety Act and Regulations of Industrial Establishments. The burden of proof rests with the supplier.		

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5.5.3	The Ministry of Education and Training and the Ministry of Health provides regulations specifying which substances/ products are not acceptable. If applicable, the successful bidder(s) must supply MATERIAL SAFETY DATA SHEETS providing us with the breakdown of components for any products used in our facilities with every shipment.		
5.5.4	The Occupational Health and Safety Act describes the responsibilities of an employer. The TVDSB requires contractors maintain procedures, training and enforcement so that the responsibilities are carried out at our workplace. The contract shall abide by and strictly adhere to the regulations and conditions set out and laid down by the most current versions of the Occupational Health and Safety Act, 1990, Chapter 0-1. Their workers must be trained in WHIMS in accordance with Occupational Health and Safety Act Regulations. They must adhere to all of the TVDSB's Health and Safety Policy, Procedures and Guidelines and Municipal Bylaws.		
5.5.6	The TVDSB reserves the right to request to request a copy of a bidder's Health & Safety Policy, Procedures and Guidelines.		
5.6	WORKPLACE SAFETY AND INSURANCE BOARD		
5.6.1	The successful bidder(s) must ensure that all workers are covered by the Workplace Safety & Insurance Board for the duration of this contract.		
5.6.2	The successful bidder(s) must furnish a Certificate of Clearance from the Workplace Safety and Insurance Board as evidence that all returns have been made and all necessary assessments have been paid as required, or levied, by the Workplace Safety and Insurance Board. This certification is to be furnished prior to the commencement of this contract. The good standing must be maintained throughout the contract. It is the responsibility of the Contractor to ensure that the Workplace Safety & Insurance Board Certificate is updated every sixty (60) days. The TVDSB reserves the right to request proof of coverage any time throughout the duration of the contract.		
5.6.3	All workplace injuries or accidents on TVDSB property must be reported by the successful bidder(s) to the TVDSB's representative within 24 hours.		
5.7	COMMERCIAL LIABILITY INSURANCE		
5.7.1	The successful bidder(s) must be covered by Commercial General Liability Insurance throughout the term of the contract. Each bidder must state if it has Commercial General Liability Insurance Coverage.		

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5.7.2	Each bidder should show proof with the submission of this bid that upon the award of this contract that it will be covered by Commercial Liability Insurance coverage with limits of \$2 million per occurrence for liability (by way of primary coverage and/or Umbrella Coverage and/or otherwise), arising at law for damages caused by reason of bodily injury (including death) or damage to property by its employees or subcontractors. If the bidder does not presently have \$2 million per occurrence of Commercial Liability Insurance coverage, the bidder shall provide a written assurance from his insurer or agent on the insurer's or agent's letterhead that liability insurance limits will be increased to \$2 million per occurrence from the commencement of the contract should the contract be awarded to the bidder. The successful bidder(s) further agrees to maintain good standing throughout the term of the contract. The TVDSB reserves the right to request proof of coverage any time throughout the duration of the contract.		
5.7.2.1	This liability policy shall contain the following coverage:		
5.7.2.2	Personal Injury & Property Damage		
5.7.2.3	Non-Owned Automobile Liability		
5.7.2.4	Owners and Contractors Protective Coverage		
5.7.2.5	Contractual Liability		
5.7.2.6	Broad Form Property Damage		
5.7.2.7	Products & Completed Operation Insurance		
5.7.2.8	Contingent Employees Liability		
5.7.2.9	Cross Liability Clause and Severability of Interest Clause		
5.7.3	Upon an award to the successful bidder(s) by the TVDSB, the successful bidder(s) shall be required to submit certification in a form satisfactory to the TVDSB of the above-mentioned coverage to protect the TVDSB against claims for property damages and personal injuries, including accidental death, caused by the successful bidder(s) or its employees or subcontractors during the performance of its obligations under the contract.		
5.7.4	The successful bidder(s) agrees to indemnify, hold harmless and defend the TVDSB from and against any and all liability for loss, damage and expense, which the TVDSB may suffer or for which the TVDSB may be held liable by reason or injury (including death) or damage to any property arising out of negligent or wilful acts on the part of the successful bidder(s) or any of its representatives or employees or subcontractors in the execution of the work performed or from defects in the equipment supplied.		
5.8	MOTOR VEHICLE LIABILITY INSURANCE		

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5.8.1	Bidders must state if its own vehicles and/or those vehicles owned by its employees or subcontractors shall operate on the property of the TVDSB.		
5.8.2	In the event of an affirmative answer to 5.8.1, the successful bidders must be covered by Automobile Liability Insurance through the term of the Contract. If the bidder's employees or subcontractors will operate their own vehicles during the contract then they must maintain the same Automobile Liability Coverage as the bidder. Each bidder must state if it or its employees or subcontractors have Automobile Liability Insurance Coverage. Sub clauses also subsection 5.8.3 to subsection 5.8.4 applies to those employees or subcontractors who operate their own automobiles on the property of the TVDSB.		
5.8.3	Bidders should show proof with the submission of this bid, that upon the award of this contract that it will be covered by Automobile Liability Insurance with coverage limits of \$2 million (Commercial and \$1million on all personally owned vehicles) per occurrence for liability arising at law for damages caused by reason or bodily injury (including death) or damage to property by its employees or subcontractors. If the bidder does not presently have \$2 million per occurrence of Automobile Liability Insurance Coverage, the bidder shall provide a written assurance from his insurer or agent on the insurer's or the agent's letterhead that liability insurance limits will be increased to \$2 million for Commercial Vehicles and \$1 million personally owned vehicles per occurrence from the commencement of the contract and annually thereafter for the term of the contract, should the contract be awarded to the bidder. The successful bidder(s) further agrees to maintain that good standing throughout the term of the contract.		
5.8.3.1	The TVDSB reserves the right to request proof of coverage anytime throughout the duration of the contract. This liability policy shall contain the following coverage:		
5.8.3.2	Third Party Liability Coverage in the form of OAP-1		
5.8.4	Upon an award to the successful bidder(s) by the TVDSB, the successful bidder(s) shall be required to submit certification in a form satisfactory to the TVDSB of the above-mentioned coverage to protect the TVDSB against claims for property damage and personal injuries, including accidental death, caused by the successful bidder(s) or its employees or subcontractors during the performance of its obligations under the contract by way of the ownership or operation of an automobile.		

FIRM NAME : _____

SIGNATURE : _____

THAMES VALLEY DISTRICT SCHOOL BOARD
REQUEST FOR PROPOSAL - AUDIT SERVICES - WORKSHEET A - TERMS AND CONDITIONS

ITEM NO.	TERMS AND CONDITIONS	WILL COMPLY/WILL NOT COMPLY	COMMENT
5.8.5	The successful bidder(s) agrees to indemnify, hold harmless, and defend, the TVDSB from and against any and all liability for loss, damage and expense, which the TVDSB may suffer or for which the TVDSB may be held liable by reason of injury (including death) or damage to any property arising out of negligence on the party of the successful bidder(s) or any of its representatives or employees by way of the ownership or operation of an automobile.		
5.9	PROFESSIONAL LIABILITY INSURANCE		
5.9.1	Bidders should show proof with the submission of this bid and annually thereafter for the term of the contract that upon the award of this contract that it will be covered for Professional Liability Coverage with the following limits of coverage:		
5.9.1.1	State claim limit		
5.9.1.2	State project limit		
5.9.1.3	State aggregate limit		
5.9.1.4	For liability arising at law for damages caused by reason of professional negligence. If the bidder does not presently have the coverage as set out aforesaid, the bidder shall provide written assurance from his insurance agent or insurer on the letterhead of the insurer or agent that the Professional Liability Insurance limits will be increased to the aforesaid limits from the commencement of the contract and annually thereafter for the term of the contract should the contract be awarded to the bidder. The successful bidder(s) further agrees to maintain that good standing throughout the term of the contract. The TVDSB reserves the right to request proof of coverage anything through the duration of the contract.		
5.9.2	The TVDSB reserves the right to request that a bidder provide a complete copy of the bidder's professional liability policy to the evaluation committee for review to allow the committee to satisfy itself that the policy provides coverage satisfactory to the TVDSB.		
5.9.3	Upon the award to the successful bidder(s) by the TVDSB, the successful bidder(s) shall be required to submit certification in a form satisfactory to the TVDSB of the above mentioned caused by the actions of the successful bidder(s) or its employees, or subcontractors, during the performance of its obligations under the contract.		

FIRM NAME : _____

SIGNATURE : _____

THAMES VALLEY DISTRICT SCHOOL BOARD
REQUEST FOR PROPOSAL - AUDIT SERVICES - WORKSHEET A - TERMS AND CONDITIONS

ITEM NO.	TERMS AND CONDITIONS	WILL COMPLY/WILL NOT COMPLY	COMMENT
5.9.4	The successful bidder(s) agree to indemnify, hold harmless and defend the TVDSB from and against any and all liability for loss, damage and expense, which the TVDSB may suffer or for which the TVDSB may be held liable arising out of the professionally negligent or wilful acts on the part of the successful bidder(s) or any of its representatives, employees or subcontractors in the execution of the work performed.		
5.9.4	Notwithstanding that the parties shall enter into a Standard Form Contract for each project the successful bidder(s) acknowledges that the TVDSB does not agree to indemnify the successful bidder(s) in the event of any claim against the successful bidder(s) in any way arising out of or related to the successful bidder(s) duties and responsibilities pursuant to the Standard Form Contract initiated by a non-party to the Standard Form Contract, and arising from a claim commenced by the TVDSB whether or not the indemnity applies to the extent that the non-party claim exceeds the amount of insurance coverage.		
6.0	BIDDER PROFILE		
6.1	ADMINISTRATION & ORGANIZATION		
6.1.1	Bidders should include an organizational chart.		
6.1.2	The TVDSB reserves the right at any time after the closing date, to request from any bidder evidence of its financial standing and stability, including that of each of its officers, directors and principals. All bidders agree to provide at their own expense all such above-related information as may be requested by the TVDSB within four (4) days of the date of any such request.		
6.1.3	Bidders are required to list any and all pending or ongoing legal claims or disputes where the bidder could individually or in combination with other claims, suffer a potential economic loss greater than \$100,000.00.		
6.1.4	Bidders should state if their employees carry photo identification.		
6.1.5	Bidders should state if the staff involved in the execution of this contract are employees or sub-contractors.		
7.0	7.0 BID SUBMISSION		
7.1	BIDDER'S RESPONSE GUIDE		
7.1.1	Each bid submission should be structured using only the criteria identified in this bid document. When submitting bids, bidders should use the same numbering format, as on this bid document.		
7.1.2	The bid submission must include:		
7.1.2.1	Printed and signed copies of all Worksheets		
7.1.2.2	One Diskette or CD with file name "Appendices344.xls" which includes completed:		

FIRM NAME : _____

SIGNATURE : _____

THAMES VALLEY DISTRICT SCHOOL BOARD
REQUEST FOR PROPOSAL - AUDIT SERVICES - WORKSHEET A - TERMS AND CONDITIONS

ITEM NO.	TERMS AND CONDITIONS	WILL COMPLY/WILL NOT COMPLY	COMMENT
7.1.2.2.1	Worksheet A: Terms and Conditions Response in Excel format - Electronic response required		
7.1.2.2.2	Worksheet B: Requirements in Excel format - Electronic response required		
7.1.2.2.3	Worksheet C: Staffing in Excel format - Electronic response required		
7.1.2.2.4	Worksheet D: Pricing in Excel format - Electronic response required		
7.1.2.2.5	Five (5) Page Submission		
7.1.3	It is the bidders responsibility to ensure the that the necessary "files" are on the diskette or CD.		
7.1.4	Failure to respond in electronic format will deem the bid noncompliant.		
7.1.5	All bid documents should be submitted in an envelope marked with the bid name and number (as set out in Section 9.6 - Labelling Instructions).		
7.1.6	Bidders' submissions should include page numbers for ease of reference by committee members.		
7.1.7	The specifications and pricing section of the bid submission should not make reference to supplemental materials.		
7.1.8	Supplemental materials will not qualify as substitutes for direct responses to the bid's requirements unless specifically requested.		
8.0	8.0 AWARD		
8.1	EVALUATION PROCESS		
8.1.1	An evaluation committee will be established to evaluate bid submissions.		
8.1.2	All bid submissions will first be evaluated on their compliance with the requirements of this bid document.		
8.1.3	All compliant bid submissions will be evaluated by a TVDSB evaluation committee.		
8.1.4	The Criteria and Weighting is shown in Worksheet E - Criteria and Weighting		
8.1.5	In the event of a tie score the TVDSB will resolve the tie by drawing a name. All parties will have representation at the draw.		
8.1.6	Compliant bidders may be requested to make a presentation of their bid for clarification only. No alteration of your submission will be permitted. Notification will be given to qualified bidders as to the time and place. The presentation shall be at the expense of the bidder. The tentative date is Monday, June 14, 2010.		
8.1.7	Delivery, lead times, service, performance record, and the value of the overall award will also be taken into consideration when awarding this contract.		
8.1.8	The determination of equal quality will be based on our internal professional opinions.		
8.2	AWARD AND NOTIFICATION OF CONTRACT		

FIRM NAME : _____

SIGNATURE : _____

THAMES VALLEY DISTRICT SCHOOL BOARD
REQUEST FOR PROPOSAL - AUDIT SERVICES - WORKSHEET A - TERMS AND CONDITIONS

ITEM NO.	TERMS AND CONDITIONS	WILL COMPLY/WILL NOT COMPLY	COMMENT
8.2.1	The results of this bid will be posted to the TVDSB web site as soon as decisions have been made:		
8.2.1.1	www.tvdsb.on.ca		
8.2.1.2	"Board"		
8.2.1.3	"Purchasing"		
8.2.1.4	"Bids"		
8.2.1.5	Scroll to the end of the document, click		
8.2.1.6	"Proceed to inquiry/download page".		
8.2.1.7	Proceed to the Bid, click		
8.2.1.8	"Results - Check Mark"		
8.2.1.9	View documents in PDF format.		
8.2.2	All bid files are available for downloading at no charge from the TVDSB web site.		
8.3	DEBRIEFING		
8.3.1	Not later than 60 Days following the date of posting of a contract award notification in respect of the RFP, a Bidder may contact the Buyer requesting a debriefing from the TVDSB, and the TVDSB shall conduct such debriefing in accordance with the requirements of the Ontario Supply Chain Guidelines.		
8.3.2	Any request that is not timely received will not be considered and the Bidder will be notified in writing.		
8.3.3	Bidders should note that, regardless of the time of submission of a request by a Bidder, debriefings will not be provided until such time as a contract award notification has been posted.		
8.4	BID PROTEST PROCEDURE		
8.4.1	In the event that a Bidder wishes to review the decision of the TVDSB in respect of any material aspect of the RFP process, and subject to having attended a debriefing, the Bidder shall submit a protest in writing to the TVDSB within 10 days from such a debriefing.		
8.4.2	Any protest in writing that is not timely received will not be considered and the Bidder will be notified in writing.		
8.4.3	A protest in writing shall include the following:		
8.4.4	A specific identification of the provision and/or procurement procedure that is alleged to have been breached;		
8.4.5	A specific description of each act alleged to have breached the procurement process;		
8.4.6	A precise statement of the relevant facts;		
8.4.7	An identification of the issues to be resolved;		
8.4.8	The Bidder's arguments and supporting documentation; and		

FIRM NAME : _____

SIGNATURE : _____

THAMES VALLEY DISTRICT SCHOOL BOARD
REQUEST FOR PROPOSAL - AUDIT SERVICES - WORKSHEET A - TERMS AND CONDITIONS

ITEM NO.	TERMS AND CONDITIONS	WILL COMPLY/WILL NOT COMPLY	COMMENT
8.4.9	The Bidder's requested remedy.		
	Cheryl MacKenzie Purchasing Department	Terry Roberts Chairperson	
9.0	ELECTRONIC BIDDING INSTRUCTIONS		
9.1	DOWNLOADING BID DOCUMENTS		
9.1.1	All documents are available from the TVDSB Web Site at www.tvdsb.on.ca		
9.1.2	Click "Board"		
9.1.3	Click "Purchasing"		
9.1.4	Click "Bids"		
9.1.5	Read instructions		
9.1.6	Click "Proceed to Inquiry/Download page"		
9.1.7	Click on the "Name of the Bid" to view documents in PDF format.		
9.1.8	Click on the "NEW" logo to download the executable files for preparing and submitting your bid.		
9.1.9	All bid files are available for downloading at no charge from the TVDSB web site.		
9.2	PRINTING COPIES OF BID DOCUMENTS		
9.2.1	To print a working copy of any bid document - Click on the "name of the Bid" to print documents in PDF format.		
9.3	COMPUTER SYSTEM REQUIREMENTS		
9.3.1	To use the electronic system, bidders must have the following equipment:		
9.3.1.1	Microsoft Windows, version 9.5 or greater		
9.3.1.2	2.5 MB available hard drive space		
9.3.1.3	3 ½ 1.44 MB diskette drive or CD Burner.		
9.4	INSTALLATION OF BID PROGRAM ONTO YOUR COMPUTER:		
9.4.1	The TVDSB has made every effort to ensure the files are free of any virus and are functional. However, in safe computing practice, you are responsible for checking the files on your own virus checker to ensure it is free of any virus.		
9.4.2	Download the executables from our Web Site as shown above. Double click on the "NEW" logo for the executable file. Follow the instructions.		
9.4.3	The bid files are installed into a default directory called C:\LBTENDER. When the installation process is complete, a box will appear with a "Remove Thames Valley District School Board Tender icon"; please close this box. When the setup is complete, click close.		
9.4.4	To begin the Bid Program:		
9.4.4.1	Go to Windows Explorer		

FIRM NAME : _____

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THAMES VALLEY DISTRICT SCHOOL BOARD
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ITEM NO.	TERMS AND CONDITIONS	WILL COMPLY/WILL NOT COMPLY	COMMENT
9.4.4.2	Click on C:\ drive		
9.4.4.3	Open the folder "lbtender"		
9.4.4.5	The following files will be installed in the C:\lbtender directory:		
9.4.4.6	Appendices344.xls		
9.4.5	To start the process open the Excel files and complete the Worksheets, A, B, C & D as instructed.		
9.5	DISKETTE OR CD CREATION:		
9.5.1	After all bids have been entered:		
9.5.1.1	Copy the "Appendices344.xls" file to either a 3.5" Diskette or CD using your company's standard CD Writing program.		
9.5.1.2	Check to ensure that the "files" are on the diskette or CD. Without these files we will not be able to download your bid.		
9.5.1.3	Be sure to label your diskette or CD with company name and signature.		
9.6	LABELLING OF ENVELOPE AND DISK		
9.6.1	ADDRESS BID ENVELOPE AS SHOWN BELOW:		
9.6.1.1	From - Company Name & Address		
9.6.1.2	To: OFFICE OF THE TENDERS CLERK		
9.6.1.3	Thames Valley District School Board		
9.6.1.4	EDUCATION CENTRE,		
9.6.1.5	1250 Dundas Street,		
9.6.1.6	LONDON, Ontario		
9.6.1.7	N5W 5P2		
9.6.1.8	Audit Services		
9.6.1.9	Bid #344		
9.6.1.10	Return Date: 12:00:00 noon local time - Tuesday, June 1, 2010		
9.7	PLEASE AFFIX LABEL ON DISK AS SHOWN BELOW:		
9.7.1	THAMES VALLEY DISTRICT SCHOOL BOARD		
9.7.2	Audit Services		
9.7.3	Bid #344		
9.7.4	Return Date: 12:00:00 noon local time - Tuesday, June 1, 2010		
9.7.5	Signature:		
9.7.6	Firm Name:		
10.0	SIGNATURE PAGE		
10.1	This section must be completed, signed, and included with your submission for your bid to be accepted.		
10.2	I hereby acknowledge and agree that I have read and completed all of the preceding Contract Terms and Conditions and all Appendices. All required Appendices are included in our bid submission.		

FIRM NAME : _____

SIGNATURE : _____

THAMES VALLEY DISTRICT SCHOOL BOARD
REQUEST FOR PROPOSAL - AUDIT SERVICES - WORKSHEET A - TERMS AND CONDITIONS

ITEM NO.	TERMS AND CONDITIONS	WILL COMPLY/WILL NOT COMPLY	COMMENT
10.3	I/We the undersigned are duly authorized to execute this Bid Submission on behalf of:		
10.4	NAME (Please print):		
10.5	TITLE:		
10.6	SIGNATURE:		
10.7	FIRM NAME:		
10.8	State the legal entity that your organization operates under:		
10.8.1	Proprietorship		
10.8.2	Partnership		
10.8.3	Corporation		
10.9	If your organization is incorporated, bidders must state the jurisdiction in which the corporation was originally incorporated in:		
10.9.1	Name of each individual Partner or Correct Legal Name of Corporation:		
10.9.2	E-MAIL ADDRESS:		
10.9.3	ADDRESS:		
10.9.4	INTERNET ADDRESS:		
10.9.5	TELEPHONE NO.:		
10.9.6	FAX NO.:		
10.10	If subcontracting, bidders must provide the correct legal name for any sub contractor, their full personal name and address, telephone number, fax number, as well as the name(s) of appropriate contact persons (with whom the TVDSB may consult regarding this bid with whom the bidder enters into a contract(s) with to carry out any portion of this contract:		
10.10.1	Firm Name:		
10.10.2	Firm Address:		
10.10.3	Telephone Number:		
10.10.4	Fax Number:		
10.10.5	E-MAIL ADDRESS:		

FIRM NAME : _____

SIGNATURE : _____

ITEM NO	DESCRIPTION	RESPONSE - WILL COMPLY, DEVIATES, WILL NOT COMPLY	COMMENT
SCOPE OF WORK			
B1.0 FINANCIAL STATEMENTS			
B1.1	Commencing with the 2009/10 fiscal year, the audit firm will perform an examination in accordance with generally accepted auditing standards of the financial statements of the Board to the degree necessary to express an opinion on the financial statements.		
B1.2	The responsibility of the audit firm will be limited to an expression of opinion on these financial statements and will not involve the preparation of the financial statements and related account analyses and schedules. Should accounting assistance be required, it will be discussed as a separate and specific assignment at the time the service is required.		
B1.3	The financial statements will include the following:		
B1.3.1	Consolidated Statement of Financial Position		
B1.3.2	Consolidated Statement of Financial Activities		
B1.3.3	Consolidated Statement of Changes in Financial Position		
B1.3.4	Schedule of Operating Funds		
B1.3.5	Schedule of Capital Funds		
B1.3.6	Schedule of Reserve Funds		
B1.3.7	Schedule of School Activities Funds		
B1.3.8	Notes to Consolidated Financial Statements		
B1.3.9	Thames Valley Education Foundation		
B1.4	Review records and schedules according to the Ministry of Education's express instructions related to the statutory March 31st reporting requirements of the Province of Ontario, i.e. the March 31st Report and Prescribed Working Paper. This forms part of the Scope of Work and is to be included in the Amount Bid commencing with the 2010/2011 fiscal year.		
B1.5	For the first year of a contract award (our fiscal year ending August 31, 2010), this seven (7) month interim reporting period will have been completed by the Board's incumbent audit firm. With this portion of work having been completed prior to the beginning of a new contract, your amount bid for year one (1) should reflect this reduction in the scope of work. (See Worksheet D - Pricing).		
B1.6	Examine and express an opinion on various schedules required by the Ministry of Education.		
B1.8	Should accounting assistance be required, it will be discussed as a separate and specific assignment at the time the service is required.		
B2.0 SPECIAL REQUIREMENTS			
B2.1	The audit firm will examine and express an opinion on various schedules required by the Ministry of Education as a result of provincial consolidation. This includes the required Specified Procedures Reports as follows:		
B2.2	As of March 31, 2011 based on:		
B2.2.1	●7-month consolidated operating results for the Board		
B2.2.2	●7-month capital asset activity		

FIRM NAME: _____

SIGNATURE: _____

ITEM NO	DESCRIPTION	RESPONSE - WILL COMPLY, DEVIATES, WILL NOT COMPLY	COMMENT
SCOPE OF WORK			
B2.3	As of August 31, 2011 based on:		
B2.3.1	<ul style="list-style-type: none"> •5-month capital asset activity 		
B2.4	In addition, and if required, the audit firm will perform an annual special audit in order to express an opinion on the expenditure statement for the:		
B2.4.1	<ul style="list-style-type: none"> •Ontario Youth Apprenticeship Program; annual grant of approximately \$200,000 		
B2.4.2	<ul style="list-style-type: none"> •Literacy and Basic Skills; annual grant of approximately \$634,000 		
B2.4.3	<ul style="list-style-type: none"> •Thames Valley Education Foundation; assets of approximately \$5,000,000 		
B2.5	The audit firm may also be required to provide the additional services shown below. These services would not be part of the regular audit and billed on a work rate basis as stated in Worksheet D - Pricing.		
B2.5.1	Forensic/Investigative		
B3.0 TIMING			
B3.1	The year end of the Board is August 31. The Ministry of Education requires audited financial statements by mid November of each year. The audit firm should plan to conduct an interim audit each year and the fieldwork should be completed at a mutually agreeable time.		
B3.2	Prior to year end, the audit firm shall meet with the Manager of Financial Services to discuss and agree upon:		
B3.2.1	<ul style="list-style-type: none"> •An audit schedule indicating the timing of field work. 		
B3.2.2	<ul style="list-style-type: none"> •A list of necessary schedules, working papers, analyses and other information to be prepared by Board staff. 		
B4.0 QUALIFIED STATEMENTS			
B4.1	The audit firm shall immediately, upon discovery of information or conditions which could lead to a qualified opinion with respect to the Board's financial statements, inform and fully discuss such matters with the Director of Education or designate or the Chair of the Audit Committee as appropriate.		
B4.2	In addition, the audit firm shall, as far as possible, allow a reasonable period of time for the Board to make an investigation and take such corrective action as to avoid the inclusion of such qualification.		
B5.0 POST AUDIT MANAGEMENT LETTER			
B5.1	No later than sixty days following completion of the annual statements, the audit firm shall prepare and deliver to the Manager of Financial Services and the Executive Superintendent of Business & Treasurer, a draft letter conveying the audit firm's observations relating to the internal accounting and operating controls with respect to the Board's operations including recommendations for improving its system and/or processes which may have been discovered during the course of the audit.		

FIRM NAME: _____

SIGNATURE: _____

ITEM NO	DESCRIPTION	RESPONSE - WILL COMPLY, DEVIATES, WILL NOT COMPLY	COMMENT
	SCOPE OF WORK		
B5.2	The audit firm shall meet with Senior Administration to discuss the comments. Following agreement of content a final letter shall then be provided together with the appropriate staff response to the Audit Committee of the Board.		
B6.0	PRESENTATION TO THE AUDIT COMMITTEE OF THE BOARD		
B6.1	The approach to conducting the audit will be presented to the Board's Audit Committee for approval prior to commencing the annual audit.		
B6.2	The results of the financial statement audit and the audit findings will be presented to the Board's Audit Committee at the completion of the audit annually by the auditing firm's partner or manager who is responsible for the Board's audit.		
B6.3	The audit firm may also be required to make presentations or engage in discussions on matters of interest to the Audit Committee of the Board and the Board of Trustees at a regular meeting of the Board. All charges for this service should be included in Worksheet D - Pricing		
B7.0	FINANCIAL STATEMENT AUDIT SCHEDULE		
B7.1	Each year the Board's audit firm and Financial Services staff will meet for the purpose of defining the annual audit plan. This meeting will lead to the assembly, audit and submission of the Annual Financial Statements of the Board, for the year ending August 31, by mid November or such deadlines as set by the Ministry of Education. These statements will be presented to the Audit Committee.		
B7.2	The schedule will identify:		
B7.2.1	•The important dates when the required information is to be assembled by both parties.		
B7.2.2	•A list of all necessary schedules, working papers, analysis and other information relevant to statement preparation.		
B7.2.3	•A definition of responsibilities of both Board and audit staff with respect to preparation of all required documents.		
B7.2.4	•Dates for meetings between board and audit staff to review the progress by both parties.		
B7.2.5	•The dates which the audit firm will first arrive to commence the financial statement audit and the number of audit personnel to be present and their expected departure date.		
B7.2.6	•Final dates for audited financial statements to be made available to the Board's Financial Services staff to facilitate submission dates.		
B7.2.7	•The audit firm will attend meetings of the Board or Committees of the Board, i.e. Audit Committee, as required to discuss their work and reports and shall provide such information as requested which will enhance the understanding of Trustees concerning matters pertaining to the annual financial statements.		
B7.2.8	•The audit firm shall maintain proper audit records and files which will become the property of the Board, should the contract be terminated.		

FIRM NAME: _____

SIGNATURE: _____

ITEM NO	DESCRIPTION	RESPONSE - WILL COMPLY, DEVIATES, WILL NOT COMPLY	COMMENT
	SCOPE OF WORK		
B7.2.9	•The Board does not guarantee that the firm awarded the external audit services contract will be asked to perform any services for the Board beyond the audit services specifically requested in this proposal.		
B8.0	GOVERNANCE & AUDIT COMMITTEE		
B8.1	During the term of the agreement, the governance of Internal Audit and the Board Audit Committee will be subject to new government and Ministry regulations. There should be no additional charges for changes in these regulations.		
B8.2	There may be additional External Audit requirements as yet unknown that should not require a subsequent audit fee.		
B9.0	REQUIRED LICENSE		
B9.1	Bidders are required to be licensed under the Public Accountancy Act in the Province of Ontario.		
B10.0	EXPERIENCE		
B10.1	Bidders must be currently providing auditing services or have provided auditing services within the past three years to at least one Educational or Public Institution with an annual budget of not less than \$100,000,000.		
B11.0	COMPETENCY, KNOWLEDGE, CONTINUITY OF PERSONS ASSIGNED TO THE AUDIT (Audit Firm Technical Qualifications, Experience)		
B11.2	Bidders must state location of the office assigned to the audit.		
B11.3	Bidders must state total number of staff at the office assigned to the audit.		
B11.4	Bidders must state number of offices across Ontario.		
B11.5	It is expected the Audit Senior will be on site throughout the majority of the audit.		
B11.6	It is the responsibility of the successful bidder to orient and educate the new team member(s) on the school board industry in general and on the specifics of the Board's financial information, so that the transition from incumbent to new audit firm is seamless. In other words, the transfer of knowledge, which is pertinent to the audit year to year, is not the responsibility of the Board's staff.		
B12.0	FEES		
B12.1	All fees including audit staff/hours required for the current year and the four additional years must be stated in Worksheet D - Pricing. The response in Worksheet D should include the staff level proposed to do the work on each task, and the hourly rates to be charged. Total cost must be provided as well.		
B12.3	The following must be clearly stated in Worksheet D - Pricing:		
B12.3.1	•Organization of audit team and approximate percentage of time to be spent on the audit		
B12.3.2	•Tentative audit schedule and approximate percentage of time spent on audit by each team member (provide details of billable hours by staff members for interim and year end audit);		

FIRM NAME: _____

SIGNATURE: _____

ITEM NO	DESCRIPTION	RESPONSE - WILL COMPLY, DEVIATES, WILL NOT COMPLY	COMMENT
	SCOPE OF WORK		
B12.3.3	• Any assumptions incorporated in the proposal in establishing the proposed audit approach and fee proposal.		
B13.0	REFERENCES		
B13.1	Bidders must provide a minimum of three references where you have successfully provided similar services. References should be from a school board or education institution. The reference must contain the following information:		
B13.1.1	Reference 1 - Organization Name:		
B13.1.2	Reference 1 - Address:		
B13.1.3	Reference 1 - Contact Name:		
B13.1.4	Reference 1 - Phone Number:		
B13.1.5	Reference 1 - Fax Number:		
B13.1.6	Reference 1 - e-mail address:		
B13.1.7	Reference 2 - Organization Name:		
B13.1.8	Reference 2 - Address:		
B13.1.9	Reference 2 - Contact Name:		
B13.1.10	Reference 2 - Phone Number:		
B13.1.11	Reference 2 - Fax Number:		
B13.1.12	Reference 2 - e-mail address:		
B13.1.13	Reference 3 - Organization Name:		
B13.1.14	Reference 3 - Address:		
B13.1.15	Reference 3 - Contact Name:		
B13.1.13	Reference 3 - Phone Number:		
B13.1.17	Reference 3 - Fax Number:		
B13.1.18	Reference 3 - e-mail address:		
B14.0	OUTLINE OF AUDIT APPROACH		
B14.1	Bidders must prepare submissions not to exceed 5 (five) pages in total (8 1/2" x 11", minimum 10 pt. font). RFP submissions should be structured using only the criteria identified in this RFP document. When completing bid submissions bidders must use the same numbering format as shown below. Other requested documents including Worksheet A, Worksheet B, Worksheet C & Worksheet D and all certificates are in addition to the 5 (five) pages. Any additional information submitted will not be reviewed.		
B14.2	Describe your experience in dealing with the Ministry of Education and how you remain current with emerging issues and requirements.		
B14.3	Indicate the firm's recent experience in providing specialized services, include the type(s) of services performed and the type of organization.		
B14.4	Describe the firm's typical method of training staff.		
B14.5	Describe the firm's approach to the audit. As a minimum, include the following information:		

FIRM NAME: _____

SIGNATURE: _____

ITEM NO	DESCRIPTION	RESPONSE - WILL COMPLY, DEVIATES, WILL NOT COMPLY	COMMENT
SCOPE OF WORK			
B14.5.1	•Audit plan		
B14.5.2	•Type of audit program used		
B14.5.3	•Audit findings report		
B14.5.4	•Use of statistical sampling		
B14.5.5	•Use of computer audit specialists		
B14.5.6	•Organization of audit team		
B14.5.7	•Commitment to audit and audit team continuity		
B14.5.8	•Management letter (provide a recent sample for an Ontario school board client)		
B14.5.9	•Describe how you will approach the new PSAB reporting requirements effective for the 2009-2010 fiscal years. This would apply to your understanding of the requirements, approach and how the fee for services will be dealt with.		
B14.5.10	•Expectations of material preparation by Board staff		
B14.5.11	•A description of the firm's quality control and review systems		
B14.5.12	•Forensic Audit experience		
B14.5.13	•Information Technology Review		
B14.6	Bidders should state any advisory services, which may be available to the Board free of charge and for fees. These services may include, but are not limited to, assisting the Board and Board staff with income tax, sales tax, goods and services tax, employee benefit plans, employment equity management and cash management.		

FIRM NAME: _____

SIGNATURE: _____

ITEM NO.	Name of Staff Member and Title	No. of Years with firm	Proposed Assignment for TVDSB Audit	Education	Experience in Public Sector	Typical Work Assignments	List any Professional Development Courses attended within the last 3 years
	<i>Bidders must provide a brief description of the qualifications and experience of the staff who will be assigned to the audit team responsible for completing the assignment</i>						
C1							
C2							
C3							
C4							
C5							

FIRM NAME: _____

SIGNATURE: _____

ITEM NO.	Name of Staff Member and Title	No. of Years with firm	Proposed Assignment for TVDSB Audit	Education	Experience in Public Sector	Typical Work Assignments	List any Professional Development Courses attended within the last 3 years
C6							
C7							
C8							
C9							
C10							

FIRM NAME: _____

SIGNATURE: _____

<i>ITEM NO.</i>	<i>Name of Staff Member and Title</i>	<i>No. of Years with firm</i>	<i>Proposed Assignment for TVDSB Audit</i>	<i>Education</i>	<i>Experience in Public Sector</i>	<i>Typical Work Assignments</i>	<i>List any Professional Development Courses attended within the last 3 years</i>

FIRM NAME: _____

SIGNATURE: _____

ITEM NO.	DESCRIPTION OF FEES	ANNUAL FEES						APPROXIMATE NUMBER OF HOURS OF THE STAFF LEVEL PROPOSED						COMMENTS
		2010	2011	2012	2013	2014	5 Year Total	Partner	Manager	Audit Senior	Specialists (IT, Forensic)	Audit Staff	Total Hours	
D1	Site Audits including Enrolment & School Generated Funds													
D2	As of March 31, 2011 based on:													
D2.1	•7-month consolidated operating results for the Board													
D2.2	•7-month capital asset activity													
D3.0	As of August 31, 2011 based on:													
D3.1	•5-month capital asset activity													
D4.0	In addition, and if required, the auditors will perform an annual special audit in order to express an opinion on the expenditure statement for the:													
D4.1	•Ontario Youth Apprenticeship Program; annual grant of approximately \$200,000													
D4.2	•Literacy and Basic Skills; annual grant of approximately \$634,000													
D4.3	•HST Implementation													
D4.4	•Re-statement of 2009-2010 Financial Statements and additional years													
D4.5	•Thames Valley Education Foundation - Assets of approx. \$5,000,000													
D5.0	Additional Work Rates - State Hourly Rate - Which may include Forensic Audit, IT Audit Specialist or other													
D5.1	Partner													
D5.2	Sr Manager													
D5.3	Manager													
D5.4	Audit Senior													
D5.5	Audit Staff													
D5.6	Specialists (IT, Forensic)													
D6.0	Miscellaneous (List below any other costs not identified above) (Must be stated as a percentage of total audit cost)	Percentage												
D6.1	Clerical Support													
D6.2	Long distance telephone charges													
D6.3	Fax, postage/courier													
D6.4	Use of electronic databases													
D6.5	Accommodation													
D6.6	Travel Expenses													
D6.7	Bidders must state billing period (e.g. monthly) and a description of these expected expenses													
D6.8	Bidders must state any other expenses below:													
D6.9														
D6.10														
D6.11														
D6.12														
D6.13														
D6.14														
D6.15														
D6.16														

FIRM NAME: _____

SIGNATURE: _____

	<u>CRITERIA</u>	<u>POINTS</u>	<u>SUB CATEGORIES</u>	<u>SUB-POINTS</u>
1.0	Fees	60		
1.1			Audit Fee	60
2.0	Company Profile -Staffing	42		
2.1.			Experience in public sector audits of the partner, manager/supervisor and senior assigned to the audit – including years on each job and their position in each audit	10
2.2.			Relevant education background of each individual assigned to the audit including seminars and courses attended within past 3 years	10
2.1.			Specialized skills, training and background in public finance by assigned individuals. May include participation in school board, municipal or provincial consulting assignments, speaker or instructor roles in conferences or seminars or authorship of articles and books	8
2.2.			Ability to provide local content and decision making	7
2.1.			Firm's typical method of training staff	7
3.0	Audit Methodology	35		
3.1			Firm's approach to audit including:	5
3.2			Type of audit program used	4
3.3			Use of statistical sampling	5
3.4			Use of computer audit specialists	5
3.5			Organization of audit team	2
3.6			Tentative audit schedule and approximate percentage of time spent on audit by each team member (details of billable hours by staff members for interim and year end audit)	2
3.7			Management Letter (recent Ontario School Board sample)	2
3.8			Seven month period report/March report	2
3.9			School generated funds	2
3.11			Reliance on 3 rd party information (actuarial reports)	2
3.12			Tangible capital assets in regards to PSAB Handbook; Section 3150	2
3.13			Extent of computerization used in the firm's audit and understanding of computerization in public sector operations and its effects on audits.	2
4.0	Audit Firms Technical Qualification & Experience	25		
4.1			Firm's understanding and compliance with all GAAP and PSAB standards when conducting public sector audits.	5
4.2			Experience in dealing with the Ministry of Education and Training and how remain current with emerging issues and requirements	5
4.3			Firm's quality control program	5
4.4			Advisory services which may be available free of charge on routine matters such as staff assistance and/or publications relating to income tax, sales tax, GST, HST, employee benefits, internal audit, management issues, cash management, federal and provincial budget reviews etc.	5
4.5			Approach to resolution of technical issues	5
5.0	Value Added Benefits	5	Additional services offered	5
6.0	References	15	5 Points for each reference	15
7.0	Bid Submission	3	Completeness of submission	3
8.0	Financial Stability	3		3
9.0	Freedom from legal liabilities	2		2
10.0	Presentation	10		10
	Total	200		200